



① EP 7 REF

2007 MAY -7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re the Application of: **Tadamoto TAMAI**Group Art Unit: **3652**Application Number: **10/806,149**Examiner: **James W. KEENAN**Filed: **March 23, 2004**Confirmation Number: **1981**For: **VACUUM PROCESSING SYSTEM BEING ABLE TO CARRY PROCESS
OBJECT INTO AND OUT OF VACUUM CHAMBER**Attorney Docket Number: **042188**Customer Number: **38834****REQUEST FOR REFUND**

Mail Stop 16
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

May 4, 2007

Sir:

On January 23, 2007, Applicants filed a Request for a Continued Examination (RCE) with a payment of \$790.00 for the government RCE fee. However, Applicants are a "small entity." Applicants inadvertently filed the "large entity fee" of \$790.00 instead of \$395.00 (small entity).

Applicants request that the Patent Office refund the amount of \$395.00 to Deposit Account 50-2866.

Respectfully submitted,

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RCE

Request For Continued Examination (RCE) Transmittal

Address to:
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Alexandria, VA 22313-1450

Application Number	10/806,149
Filing Date	March 23, 2004
First Named Inventor	Tadamoto TAMAI
Art Unit	3652
Examiner Name	James W. Keenan
Attorney Docket Number	042188

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 C.F.R. § 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
 - ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
 - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
 - ☐ Other
 - ☒ Enclosed
 - ☒ Amendment/Reply
 - ☐ Affidavit(s)/Declaration(s)
 - ☐ Information Disclosure Statement (IDS)
 - ☐ Other
- Miscellaneous**
 - ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of ___ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
 - ☐ Other
- Fees** The RCE fee under 37 C.F.R. § 1.17 (e) is required by 37 C.F.R. § 1.114 when RCE is filed.
 - ☒ Check in the amount of \$ 790.00 enclosed

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01 FC:1801 790.00 DP

 - ☒ RCE fee required under 37 C.F.R. § 1.17 (e)=\$790.00
 - ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
 - ☐ Other
 - ☒ The Director is hereby authorized to charge any additional fees in connection with filing of this paper or credit any overpayments, to Deposit Account No. 50-2866.
 - ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Lee C. Wright	Registration No. (Attorney/Agent)	41,441
Signature		Date	January 23, 2007

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)		Date	
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to be filed by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Adjustment date: 05/17/2007 HDESTA1
01/24/2007 HMARZ11 00000054 10806149
01 FC:1801 -790.00 DP

Rep'n. Ref: 05/17/2007 HDESTA1
DOI: 502866 Name/Agent: TADAMOTO, TAMAI
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01 FC:2801